

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/642,278	08/18/2003	Christof Erban	247384US55CONT	2448
22850 73	590 09/13/2004		EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET			DIAMOND, ALAN D	
ALEXANDRIA			ART UNIT	PAPER NUMBER
			1753	
			DATE MAILED: 09/13/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	<u> </u>				
	10/642,278	ERBAN, CHRISTOF					
Office Action Summary	Examiner	Art Unit					
	Alan Diamond	1753					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on 22 July 2004.							
2a) ☐ This action is FINAL. 2b) ☐ This action is non-final.							
3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.							
Disposition of Claims							
4)⊠ Claim(s) <u>1-21</u> is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-21</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers							
9)☐ The specification is objected to by the Examiner							
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11) $\square$ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.							
Priority under 35 U.S.C. § 119							
12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)□ All b)□ Some * c)⊠ None of:							
1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No							
3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a list of the certified copies not received.							
			ļ				
Attachment(s)			ĺ				
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date							
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal Pa						

Art Unit: 1753

### **DETAILED ACTION**

### **Comments**

- 1. The objection to claims 7 and 12 under 37 CFR 1.75(c) has been overcome by Applicant's amendment of the claims.
- 2. The rejection of claims 1-21 under 35 USC 112, second paragraph, has been overcome by Applicant's amendment of the claims.
- 3. The Examiner acknowledges that claims 8 and 9 are no longer duplicates of claims 3 and 4.

### Claim Objections

4. Claims 11 and 12 are objected to because of the following informalities: In claim 11 at line 7, and in claim 12 at line 8, the word "sensor" should be changed to "sensors" since there are at least two solar cells serving as sensors. Appropriate correction is required.

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Art Unit: 1753

6. Claims 6, 11-13, 15, 16, 17, 20, and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Takehara et al, U.S. Patent 5,669,987.

As seen in Figure 9, Takehara et al teaches a solar cell array (i.e., instant solar cell module) comprising strings (11,12, 3,14) which each have series connected solar cells, wherein the strings (11,12,13,14) are connected in parallel (see also col. 3, lines 58-63). Each string is a detection cell, and strings (11) and (14) are disposed at different edges of the module, as per claim 17. For each string (11,12,13,14) power conversion is performed by respective power conversion unit (21,22,23,24) and the resultant values, i.e., voltages or currents depending on what is being measured, are measured by respective parameter detection units (41,42,43,44) (see col. 4, line 53-col. 5, line 13; and col. 9, lines 25-39). The parameter detection units (41,42,43,44) then send the measured values to comparator (5) which compares the measured values (see col. 9, lines 25-39). If an abnormality value is found for a string, i.e., the voltage or current for a particular string is too low compared to the voltages and current s of the other strings, then a control unit (82) shuts off the power conversion unit for the abnormal string (see col. 5, lines 15-48; and col. 9, lines 25-39). Thus, the control unit (82) bypasses the external connections of the string when the power conversion unit for the string is shut off. Since Takehara et al teaches the limitations of the instant claims, the reference is deemed to be anticipatory.

7. Claims 1-13, 15-17, 20 and 21 are rejected under 35 U.S.C. 102(e) as being anticipated by Matsushita et al, U.S. Patent 6,653,549. The instant German foreign priority papers are not of record.

Art Unit: 1753

As seen in Figures 14, 17, and 18 Matsushita et al teaches a solar cell module having series connected solar cells and detection solar cells (12a to 12f) along the perimeter of the module (see also Example 6A bridging cols. 20 to 23). The current or voltage of the detection solar cells (12a to 12f) is detected and evaluated as here claimed, such that when the difference between the measured voltages or currents exceeds a threshold value, the external connections of the module are bypassed by a switching device (16) (see Example 6A bridging cols. 20 to 23). As seen in Example 7A bridging cols 23 to 26, and Figures 19 to 21, the entire solar cell module need not be bypassed, but rather only the strings corresponding to a sensor that has a difference exceeding the threshold value. Since Matsushita et al teaches the limitations of the instant claims, the reference is deemed to be anticipatory.

# Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claims 1-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takehara et al, U.S. Patent 5,669,987.

As seen in Figure 9, Takehara et al teaches a solar cell array (i.e., instant solar cell module) comprising strings (11,12, 3,14) which each have series connected solar cells, wherein the strings (11,12,13,14) are connected in parallel (see also col. 3, lines 58-63). Each string is a detection cell, and strings (11) and (14) are disposed at

Art Unit: 1753

different edges of the module, as per claims 10 and 17. For each string (11,12,13,14) power conversion is performed by respective power conversion unit (21,22,23,24) and the resultant values, i.e., voltages or currents depending on what is being measured, are measured by respective parameter detection units (41,42,43,44) (see col. 4, line 53col. 5, line 13; and col. 9, lines 25-39). The parameter detection units (41,42,43,44) then send the measured values to comparator (5) which compares the measured values (see col. 9, lines 25-39). If an abnormality value is found for a string, i.e., the voltage or current for a particular string is too low compared to the voltages and currents of the other strings, then a control unit (82) shuts off the power conversion unit for the abnormal string (see col. 5, lines 15-48; and col. 9, lines 25-39). Thus, the control unit (82) bypasses the external connections of the string when the power conversion unit for the string is shut off. The use of an electromechanical relay or a semiconductor power switch to perform the shutting off would have been within the skill of an artisan. Takehara et al teaches the limitations of the instant claims other than the difference which is discussed below.

With respect to claim 1 and its dependent claims Takehara et al does no specifically teach reconnecting the power conversion unit for the string when the abnormality in voltage or current is no longer detected. However, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have reconnected the shut off power conversion unit when an abnormality of current or voltage is no longer detected so that the power from the sting could be utilized.

Art Unit: 1753

With respect to claim 14, it would have been well within the skill of an artisan to have placed Takehara et al's parameter detection units and control unit in the solar cell module so as to save space.

10. Claims 1-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matsushita et al, U.S. Patent 6,653,549. The instant German foreign priority papers are not of record.

As seen in Figures 14, 17, and 18 Matsushita et al teaches a solar cell module having series connected solar cells and detection solar cells (12a to 12f) along the perimeter of the module (see also Example 6A bridging cols. 20 to 23). The current or voltage of the detection solar cells (12a to 12f) is detected and evaluated as here claimed, such that when the difference between the measured voltages or currents exceeds a threshold value, the external connections of the module are bypassed by a switching device (16) (see Example 6A bridging cols. 20 to 23). As seen in Example 7A bridging cols 23 to 26, and Figures 19 to 21, the entire solar cell module need not be bypassed, but rather only the strings corresponding to a sensor that has a difference exceeding the threshold value. The use of an electromechanical relay or a semiconductor power switch to perform the switching would have been within the skill of an artisan. Matsushita et al teaches the limitations of the instant claims other than the difference which is discussed below.

Matsushita et al does not specifically teach, for example, that its signal processing/comparison unit (110), control unit (111) and switch (16,61) are disposed in the solar cell module. However, it would have been well within the skill of an artisan to

Art Unit: 1753

have placed Matsushita et al's processing/comparison unit (110), control unit (111) and

switch (16,61) in the solar cell module so as to save space.

### Response to Arguments

11. Applicant's arguments filed July 22, 2004 have been fully considered but they are not persuasive.

Applicant argues that he has perfected their claim to priority by submitting the certified English translation of the German foreign priority document. Applicant argues that, therefore, Matsushita et al does not constitute prior art. However, this argument is not deemed to be persuasive because applicant has not submitted the certified copy of the German foreign priority document. It is the Examiner's position that said certified English translation fully supports the instantly claimed invention. However, in order to perfect foreign priority, Applicant must submit the certified German foreign priority document.

Applicant argues that in Takehara et al's invention, a comparing unit compares the output of a solar cell string relative to nominal output value of all the other solar cells strings, and that the instant invention utilizes at least two individual solar cells. However this argument is not deemed to be persuasive because each sting of Takehara et al contains plural solar cells, each string is an instant detection cell, and each string is spaced from the other strings. The fact that each string contains more than one solar cell is of no moment since, for example, instant claim 1 call for "at least two solar cells spaced from each other in the solar module and defined as detection cells". The multiple number of cells in each string is encompassed by said "at least two solar cells".

Art Unit: 1753

In other words, each Takehara et al string encompasses an instant detection cell, and Takehara et al's plural strings encompass the instant solar module.

### Conclusion

12. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alan Diamond whose telephone number is 571-272-1338. The examiner can normally be reached on Monday through Friday, 5:30 a.m. to 2:00 p.m. ET.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nam Nguyen can be reached on 571-272-1342. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for Art Unit: 1753

published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Alan Diamond September 9, 2004 Alan Diamond Primary Examiner Art Unit 1753